

Can You Hear Me Here? Managing Acoustic Habitat in U.S. Waters⁶

INTRODUCTION

The U.S. National Oceanic and Atmospheric Administration (NOAA) is a steward of the nation's oceans, with a variety of statutory mandates for conservation and management of coastal and marine ecosystems and resources of ecological, economic, and cultural significance. To this end, NOAA is charged with protecting the long-term health of a wide variety of aquatic animal populations and the habitats that support them, including whales, dolphins, sea turtles, fishes, and invertebrates. While these animals fill very different roles in marine ecosystems, many of them share a common and fundamental biological need: the ability to hear, produce, and respond to sound.

The purposeful use of sound for communication by marine mammals, many fish, and a few marine invertebrates is well documented (reviewed by Tyack & Clark 2000, Normandeau Associates 2012, Ladich 2015). For example, fin and blue whales produce low frequency calls that are thought to play roles in finding mates, sharing food resource information, and navigating at ocean basin scales (Payne & Webb 1971, Morano et al., 2012). In contrast, bottlenose dolphins use higher frequency signals to maintain social structure, identify individuals, and echolocate during foraging (Janik & Slater 1998). Some fish species are well known to produce loud low frequency choruses for communicating with conspecifics and attracting mates (Myrberg 1981). Cavitating bubbles produced by snapping shrimp emit sound upon their collapse that stun prey and provide a means for individuals to communicate with one another and defend territories (Versluis et al., 2000). In addition, there is evidence from both terrestrial and marine organisms illustrating the ecological importance of adventitious sounds: those gathered opportunistically from the surrounding habitat through eavesdropping rather than from a purposeful sender (Barber et al., 2010, Slabbekoorn et al., 2010, Radford et al., 2014).

Many animals hear and respond to frequencies outside of those they produce, underscoring the importance of eavesdropping on other species or of detecting meaningful sounds made by the physical environment. Aquatic examples are wide ranging, including baleen whales responding to sounds within frequencies used by killer whales (e.g., Goldbogen et al., 2013), herring detecting sounds used by echolocating whales, fish and crab larvae using reef sounds dominated by snapping shrimp as directional cues, sharks approaching the sounds made by struggling prey and surface-feeding fish responding to sounds of prey falling into the water (reviewed by Slabbekoorn et al., 2010, p. 183). Barber et al. (2010) summarize a pattern that appears broadly consistent for both terrestrial and marine realms: *"It is clear that the acoustical environment is not a collection of private conversations between signaler and receiver but an interconnected landscape of information networks"*. As defined for humans by the International Standards Organization (2014), soundscapes are a "perceptual construct" inclusive of all the sounds *perceived* by people in a place. Wildlife ecologists, however, more typically characterize soundscapes as all the sounds *present* in a particular location and time (Pijanowski et al., 2011). The complex and dynamic assemblages of natural sounds that contribute to soundscapes are inherent aspects of discrete marine habitats inhabited by individual species and ecological communities (Figure 2-1). Thus, as experienced by the animals inhabiting it, a soundscape may also be referred to as "acoustic habitat" (Clark et al., 2009, Moore et al., 2012a, Merchant et al., 2015).

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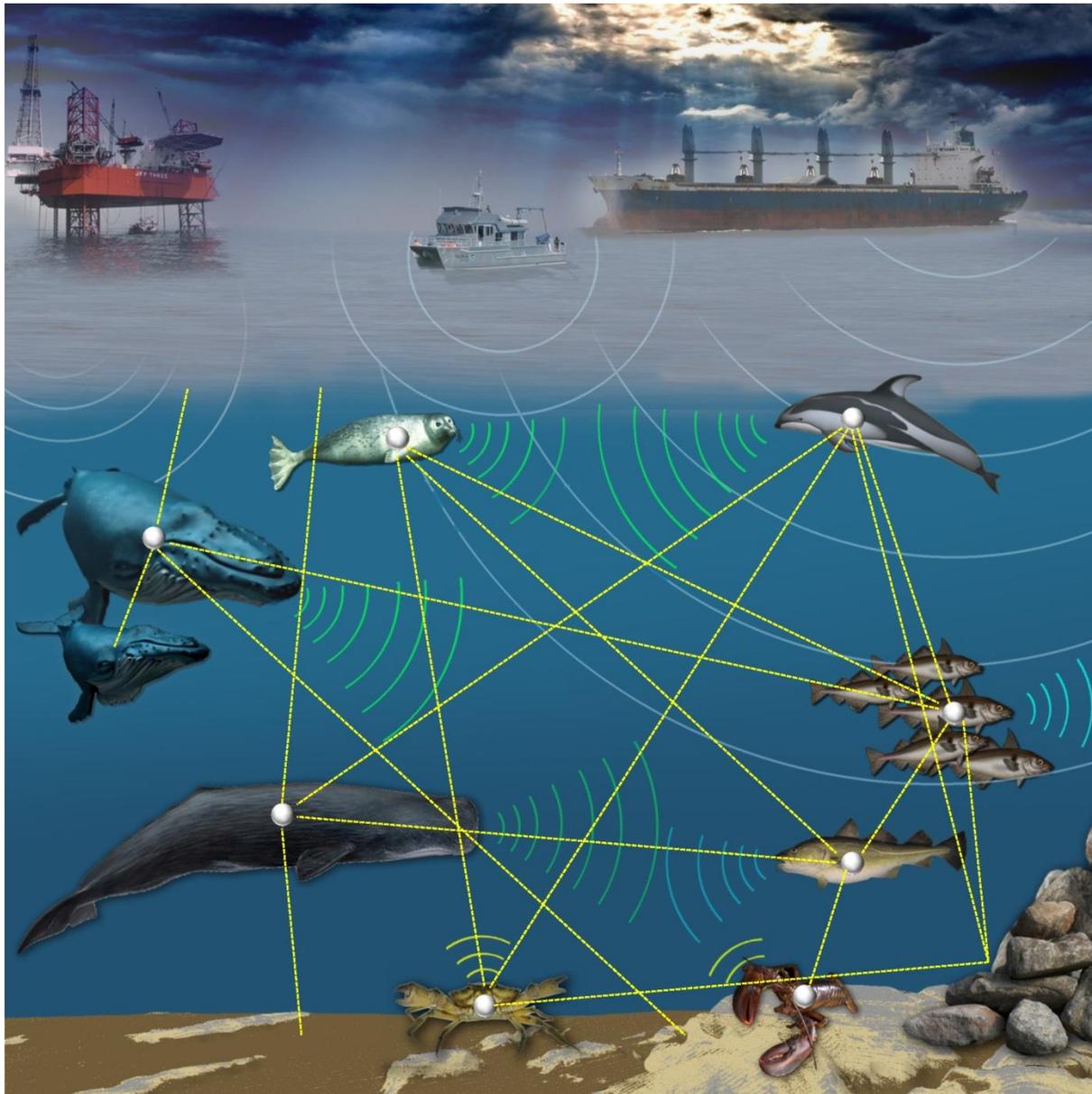


Figure 2-1. Potential acoustically mediated information pathways (yellow dotted lines) in a marine community, including, but not limited to, purposeful communication between individuals, use of echolocation over distances (large and small), eavesdropping on sounds made by other animals, detection of human activities, and identification of seafloor characteristics, all supporting biologically important behaviors such as settlement, recruitment, feeding, migration, and reproduction. White circles and blue, green and yellow semicircles generically represent information-gathering opportunities and sound production, respectively.

Acoustic habitats identified today are often significantly modified by noise produced by human activities, and thus efforts must be made to characterize both their natural and altered conditions. Such activities, and the resulting noise levels that they produce, are increasing throughout coastal and ocean waters in both time and distribution. There are few aquatic areas where anthropogenic noise is absent. Changes in noise conditions over time are predicted to vary considerably among ocean and coastal areas. In some

heavily used areas, several-fold increases in the contribution of human noise to acoustic habitats have been measured over just a few decades (Andrews et al., 2002, McDonald et al., 2006). While some marine animals are capable of adjusting communication signals in the presence of noise (e.g., Holt et al., 2009, Parks et al., 2010), it is unknown whether these changes can transfer between generations or whether they result in long-term fitness consequences (see Francis and Barber, 2013 for discussion of evolutionary traps and maladaptive consequences of signal modification in the presence of noise). As reviewed by Erbe et al. (2016), animals have evolved some mechanisms to improve their ability to perceive signals of biological importance in the presence of some noise. However, relative to the life spans of marine organisms, noise levels in many coastal and offshore areas have seen significant growth over just a handful (e.g., some fish, turtles and marine mammals) to tens (e.g., some fish and invertebrates) of generations. Given this rapid increase, the potential for evolved mechanisms to ameliorate loss of acoustic information in many contemporary noise environments is likely to be limited. Additionally, Barber et al. (2010) remind us that while evolutionary adaptation to reduce masking of communication signals can act on both conspecific senders and receivers, mechanisms to improve perception of a wide variety of incidental sounds relative to a wide variety of noise types must be far less singularly focused (resulting in less selective pressure) and are limited to the listeners.

NOAA recognizes the need to develop an approach to underwater noise management that considers not only its effects on individual animals, but also the importance of natural sounds in the places where those animals live. As the world's coasts and oceans become busier and noisier, NOAA will be challenged to craft and implement new management approaches that balance the competing needs of coastal and ocean resource users and natural acoustic habitats. In this paper, we describe key elements of an agency-wide strategy to more comprehensively manage noise impacts to acoustic habitats, including implications for the science needed to assess habitat status and noise influences. We then examine NOAA's management tools and consider their application to acoustic habitat protection goals, highlighting activities that are underway or could be undertaken to achieve these goals.

BROADENING NOAA'S NOISE MANAGEMENT APPROACH

Describing Acoustic Habitats

The place where an animal lives is called its "habitat" and is described by its physical and biological attributes, including its acoustic conditions. Under strict habitat definitions, acoustic habitat is an attribute of the area surrounding individual animals; however, the concept is commonly expanded to refer to habitat as the place where multiple species occur together under similar environmental conditions. A habitat can therefore be distinguished from surrounding habitats on the basis of both its species composition and its physical environmental characteristics (e.g., type of seabed, tidal currents, salinity). An acoustic habitat can similarly be attributed to an assemblage of species that are known to collectively experience and often contribute to a natural soundscape that is distinguishable from surrounding soundscapes. Soundscape measurements can be associated with aquatic habitats that have been classified using more traditional data types (e.g., McWilliams & Hawkins 2013, Lillis et al., 2014). Such measurements can illustrate variance in space, time, and frequency content, depending on what species are present at the time of measurement. For example, natural acoustic habitats within tropical reef areas may be heavily dominated by the popping of snapping shrimp and will therefore differ dramatically from those within temperate boulder fields inhabited by the grunting and thrumming of fish such as cusk, sculpin and cod (e.g., Rountree et al., 2006, Staaterman et al., 2013). Acoustic habitats may vary seasonally in association with the presence of animals that produce sounds, whether they are feeding, reproducing, or simply migrating through the area (e.g., Moore et al., 2012b, Parks et al., 2014). Environmental sources of sound can also show strong temporal trends, such as louder, stormier winter

months and quieter, lower wind summer months, contributing to large intra-annual differences in natural acoustic habitats (Wenz 1962, Urick 1983). Such natural sources of variance must be accounted for in further evaluating alterations of such habitats by noise from human activities.

Although a few noise sources produce relatively consistent acoustic input to habitats (e.g., large commercial shipping) the cumulative footprint of noise from human activities is often dynamic. Noise made by human activities varies widely in its frequency content, duration and loudness. Consequently, anthropogenic noise can affect acoustic habitats locally for brief periods of time as well as chronically over large areas for long durations. The characteristics of noise sources greatly influence the types of impacts they may have on marine animals and their acoustic habitats. At close proximity, loud noises can result in hearing damage and other physical injury to, or even death of, animals. Sudden, erratic or acute noises can additionally be perceived as threats, leading to adverse responses, while frequent and chronic noise can interrupt communication and disrupt the ability to detect acoustic cues. All of these types of impacts can have viability consequences (see Figure 3, Francis & Barber 2013).

Studies of fishes have quantified the negative impacts of noise-disrupted behavioral patterns on foraging success (Purser & Radford 2011) and predator awareness (Voellmy et al., 2014, Simpson et al., 2015). Effects of lost listening opportunities in noisy conditions can be assessed for specific, identified environmental or adventitious cues of importance, or more generally based on reduction in the volume of space available for acoustic detection (see Box 2, Barber et al., 2010). Time-series data documenting changes in noise conditions are not typically available. Estimates of change in the status of acoustic habitats can incorporate contemporary noise measurements and predictive modeling with and without noise sources, or historical measurements made in areas with similar oceanographic parameters (e.g., Hatch et al., 2012). More recently, the U.S. National Park Service has been developing modeling techniques to predict levels of noise under different conditions for large areas of the continental U.S.A., with one purpose being to gauge progress towards park soundscape management goals (Mennitt et al., 2014).

NOAA's Tools for Acoustic Habitat Risk Assessment

The need to develop long-term recording assets in U.S. waters to enable full characterization of localized acoustic habitats, and support standardized comparisons both within habitats over time and among habitats of potential management interest, is well recognized both by NOAA and other federal agencies (Southall et al., 2009). Some places, such as Stellwagen Bank National Marine Sanctuary and the northeast region in general, have developed longer-term and higher-resolution monitoring efforts as a result of established collaborations between NOAA scientists and non-federal partners, relying on substantial funding from other federal agencies (Van Parijs et al., 2015b). Longer-term recordings have also been funded by non-NOAA federal agencies associated with monitoring the impacts of established noise-producing activities in acoustic habitats of interest to NOAA (e.g., off Southern California and North Carolina associated with military training ranges and in the Alaskan Arctic associated with oil and gas exploration and extraction). NOAA is working with these partners to ensure that such data assets can support assessments of both baseline conditions of acoustic habitats and changes in their status through time. Despite efforts to improve and increase standardized passive acoustic data collection, NOAA cannot listen to all the places in its management charge all the time. Sound-field modeling provides opportunities to characterize acoustic habitat conditions in places with no or limited measurements, and to explore the predicted consequences associated with changes in the types, distributions and densities of noise-producing activities over time. NOAA has invested in the development of such modeling approaches within U.S. waters at various resolutions and scales (<http://cetsound.noaa.gov>; Figure 2-2).

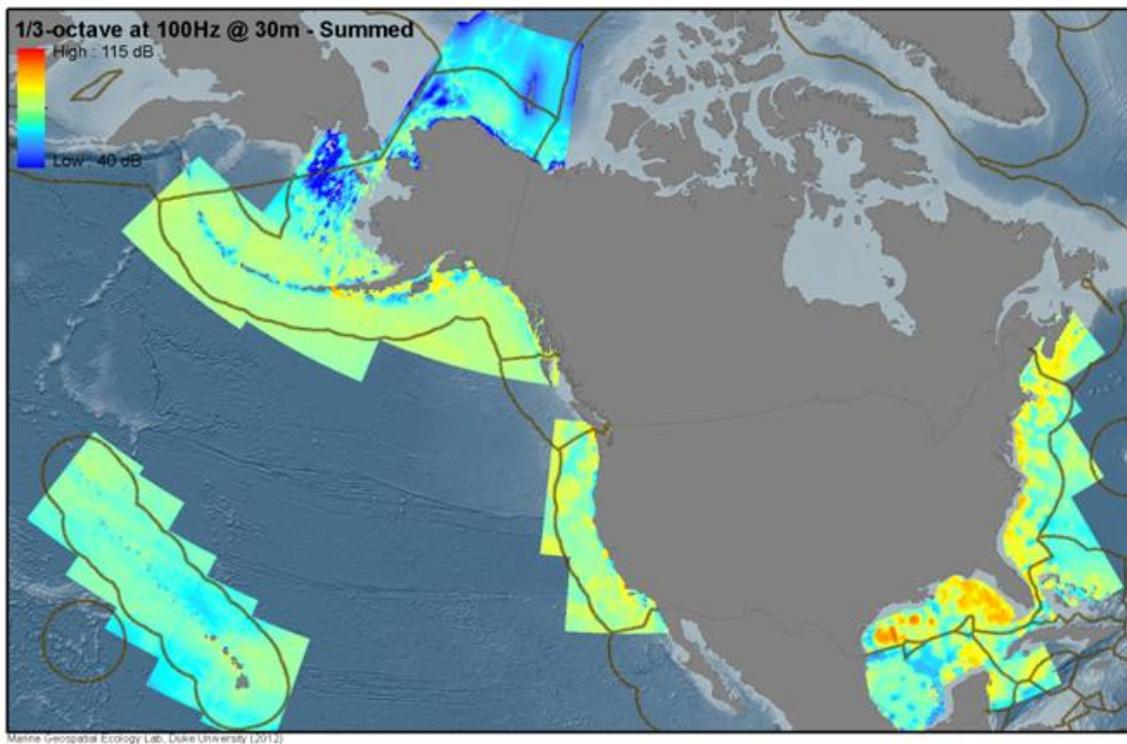


Figure 2-2. Predicted low frequency (one-third octave centered at 100 Hz) average annual noise levels (equivalent, unweighted sound pressure level in decibels re 1 μ Pa) at 30 m depth, summing contributions from a variety of human activities (see http://cetsound.noaa.gov/sound_data) within the US Exclusive Economic Zone (brown lines).

As NOAA looks to integrate acoustic habitat protection within its science and management activities, it is helpful to examine which tools developed to support the agency's traditional, species-based noise impact evaluation processes can be leveraged to inform broader evaluation of impacts to acoustic habitats. Noise impact assessments, whether addressing direct effects to individual animals or degraded acoustic habitat, share basic science needs. Chief among them are to identify: (1) which species use or make sound (including hearing, sound production, and sensitivity); (2) the role of sound in their life histories (acoustic ecology and behavior); and (3) how they use their environments (including their distribution and habitats that support biologically important activities, such as reproduction and feeding). However, NOAA's historical focus on tissue damage and behavioral response has underemphasized additional science needs that would inform understanding of the consequences of anthropogenically-altered acoustic habitats. For example, more research is needed to characterize variation in the production or perception of intraspecific communication signals in natural areas with different background noise conditions. Likewise, more research is needed to better document the quietest signals that animals can (and do) perceive in the wild. Recent investments in the development of models to interpret the consequences of behavioral responses to noise (e.g., Population Consequences of Disturbance; SMRU Consulting 2015) have the potential to, but have yet to, address the long-term effects on the viability of populations when individuals are less able to hear conspecifics, prey, predators, or key environmental awareness cues. There is a clear need to ensure that such modeling can address data-poor as well as data-rich management contexts. Tools that are being adapted to implement ecosystem-based management of fisheries (e.g., Productivity-Susceptibility Analyses; Food and Agriculture Organization of the United Nations 2015) allow for rapid risk assessment when faced

with uncertainty regarding ecological relationships as well as population demographics. Such techniques could generate estimates of risk for individual populations and ecosystems due to noise-altered habitat or displacement from habitat due to noise, and could integrate risk associated with multiple threat types.

Place-based risk assessments are a particularly useful framework for integrating multiple data resources in order to inform agency decision-making. Characterizations of the co-occurrence of high-value target species, high-value target places, and predicted and measured noise levels can inform agency actions at several scales (Erbe et al., 2014, Redfern et al., submitted). In some cases, current passive acoustic monitoring and noise modeling capacity may be sufficient to support NOAA's assigning high risk to a high-value acoustic habitat that is currently quiet when compared to other areas, and where action is necessary to maintain lower noise levels. In other cases, high risk may be associated with a high-value habitat that is currently relatively loud and where action is necessary to reduce noise levels. Given the status of standardized long-term passive acoustic monitoring and noise modeling capacity in U.S. waters today, however, available data may or may not be sufficient to support mitigation design (i.e., identification of dominant noise contributions at various spatial, temporal and spectral scales). NOAA's actions to strengthen protection for high-risk acoustic habitats will therefore need to be adaptive, continually improving both the design and implementation of effective mitigation.

NOAA's Tools for Managing Acoustic Habitat

Historically, NOAA has managed the impacts of noise on its trust resources by using legal frameworks designed to protect target populations and species. These populations and species are those that society has determined need special care, including those that are endangered or threatened, and those that are of particular ecological, cultural or economic interest, including all marine mammals. The Endangered Species Act (ESA 1973) and the Marine Mammal Protection Act (MMPA 1972) are the primary statutes by which NOAA requires mitigation strategies and monitoring action designed to reduce or eliminate and better understand the impacts that specific types of noise have on this limited suite of species. Under these statutes, management action has focused on reducing the potential for relatively loud noise sources (e.g., airguns, sonars, pile drivers) to unambiguously injure animals or cause them to respond behaviorally over (usually) relatively small spatial and temporal scales. This traditional approach has played an important role in fulfilling NOAA's stewardship mandates by preventing or minimizing acute harm to individual animals.

The U.S. National Ocean Policy (U.S. NOP; Executive Order 13547 2010), however, firmly directs federal agencies to implement ecosystem-based approaches to management. Fundamentally place-based, these management efforts seek to conserve functioning ecosystems and the services they provide. Ecosystem-based management approaches highlight the importance of natural habitats and parallel additional efforts within NOAA to focus the agency's many mandates to protect and restore habitats. Inherent in these policy directives is the need for NOAA to begin to address the widespread degradation of natural acoustic habitat for a broad range of acoustically-sensitive species due to increasing noise from accumulated anthropogenic sources.

The degree to which NOAA's management tools can be used to focus on specific habitats ranges widely. Many, but not all, areas managed or co-managed by NOAA meet the national definition of a marine protected area (MPA). In the U.S., an MPA is broadly defined as "an area of the marine environment that has been reserved by federal, state, territorial, tribal, or local laws or regulations to provide lasting protection for part or all of the natural and cultural resources therein" (Executive Order 13158 2000, Section 2(a)). Covering over half the total area of the U.S. Exclusive Economic Zone (EEZ) and occupying

most habitat types (Table 2-1), U.S. MPAs have been established by a variety of federal, state, and tribal agencies to protect a diversity of species (e.g., mammals, fish, invertebrates, and plants), cultural resources, and natural ecosystem features and processes. MPAs in the U.S. also vary widely in their conservation purposes, and in the associated level, scale and permanence of protection afforded the resources they protect (Table 2-1, categories discussed in National Marine Protected Areas Center 2011). NOAA manages or co-manages only 13% of MPAs within U.S. waters. However, these 13% represent 99% of the total area contained within U.S. MPAs. This is due mainly to the existence of many large Sustainable Production fishery MPAs, a few large marine mammal MPAs on the East Coast and 4 large Marine National Monuments in the Pacific. While two-thirds of U.S. MPAs have a broad ecosystems conservation focus, two-thirds of NOAA MPAs focus on the conservation of specific focal resources. The remaining one-third of NOAA MPAs, including fifteen sites managed by the Office of National Marine Sanctuaries, focus on comprehensively protecting marine ecosystems. Regardless, as the main federal managers of large, offshore MPAs, NOAA plays a key role in shaping and executing U.S. marine spatial protection.

Table 2-1. Prevalence and diversity of management approaches for all existing Marine Protected Areas (MPAs) in U.S. Exclusive Economic Zone (EEZ) waters, as well as National Oceanographic and Atmospheric Administration (NOAA)-managed or co-managed areas.

| | All U.S. MPAs | | NOAA MPAs | |
|---|-----------------------|---------|-----------------------|---------|
| | Number | Percent | Number | Percent |
| MPA Area Coverage in U.S. EEZ | | | | |
| Number of MPAs in U.S. EEZ | 1,774 | -- | 227 | 13% |
| U.S. EEZ area covered by MPAs | 6.85M km ² | 55% | 6.78M km ² | 99% |
| Primary Conservation Focus of U.S. MPAs (#'s of sites) | | | | |
| Natural Heritage | 1,179 | 67% | 80 | 35% |
| Sustainable Production | 442 | 25% | 145 | 64% |
| Cultural Heritage | 153 | 9% | 2 | 1% |
| Level of Protection of U.S. MPAs (#'s of sites) | | | | |
| Uniform Multiple Use | 1,402 | 79% | 187 | 82% |
| Zoned Multiple Use | 111 | 6% | 21 | 9% |
| Zoned w/ No Take | 35 | 2% | 6 | 3% |
| No Take | 127 | 7% | 13 | 6% |
| No Impact | 16 | 1% | 0 | 0% |
| No Access | 83 | 5% | 0 | 0% |
| Ecological Scale of Protection (#'s of sites) | | | | |
| Focal Resource | 674 | 38% | 164 | 72% |
| Ecosystem Scale | 1,100 | 62% | 63 | 28% |
| MPAs Managed by NOAA Line Office (#'s of sites) | | | | |
| NOAA Fisheries | 182 | 10% | 182 | 80% |
| National Ocean Service | 45 | 3% | 45 | 20% |

A fuller understanding of how and where NOAA's existing spatial management tools can be used to sustain viable acoustic habitats will help the agency meet and adapt to the growing threat ocean noise poses to our trust resources. NOAA's place-based tools can generally be categorized as those that are applied by the agency to fulfill mandates to protect specific, high-value populations or species, versus those that are applied towards protecting a high-value area, including all its attributes (Table 2-2). Here, we use the term "high value" to generalize the many statute-specific definitions that are used to identify the specific populations, species and areas that NOAA is mandated to protect (e.g., endangered or commercially important). The tools listed here include only those with links to NOAA's statutory authorities or actions. Marine National Monuments, for example, are not de-facto included in this table, as their designation under the Antiquities Act (1906) is an act of the President not the Agency, and doesn't in and of itself, provide NOAA with additional statutory authorities to support management goals. That said, the NOAA National Marine Fisheries Service's Marine National Monument Program serves to coordinate the development of management plans, scientific exploration and research programs under their existing authorities (MMPA, ESA and Magnuson–Stevens Fishery Conservation and Management Act-MSFCMA 1996) within all four of the Marine National Monuments in the Pacific Islands Region. In addition, NOAA's Office of National Marine Sanctuaries, with authorities under the National Marine Sanctuaries Act (NMSA 1992), has active management roles within two Marine National Monuments, Papahānaumokuākea and Rose Atoll.

The tools listed are not exhaustive of NOAA's authorities, but provide examples of different types of measures within the agency's jurisdiction that are currently or could in the future be applied to address noise impacts to acoustic habitat. Some authorities have operational areas that can authorize NOAA actions over very large areas, encompassing the full geographic range of target populations, species or their habitats. Cetacean Biologically Important Areas were identified for certain cetacean species through NOAA's CetMap program (Van Parijs et al., 2015a), and are included here despite their lack of statutory authority due to NOAA's role in supporting their development and their direct link to NOAA's noise impact assessment activities. Similarly, several new tools that support increasing attention by the agency to ecosystem-based management are listed in the table. Although many are in early stages of development and are not accompanied by new statutory authorities, they represent promising new mechanisms for focusing agency attention towards restoration or enhanced protection of high value aquatic places (e.g., Habitat Blueprint Focal Areas, NOAA Fisheries 2015a, Important Ecological Areas, Northeast Regional Planning Body 2015). Finally, several tools that authorize NOAA to provide technical expertise to other state or federal decision-making processes are listed, due to the roles that such influence could play in broadening the scope of NOAA's direct actions.

Scales of applicability (spatial, temporal and ecological) are considered for each tool, in order to examine their limitations and strengths for addressing acoustic habitat management goals. Potential noise management outcomes are classified generally as influencing either mitigation or monitoring of noise exposure for target taxa or areas. Mitigation includes actions taken to reduce the occurrence of noise impacts. Here, monitoring specifically addresses measurements taken during noise-producing activities (required of those promoting the activity) in order to evaluate potential for impact that may or may not occur, and the information gained can inform future management decisions. In addition, NOAA has a variety of statutory mandates that support the agency's own need to monitor noise impacts on the populations, species, and areas it manages. Those measures are not listed here, nor are more general National Environmental Policy Act (NEPA 1969) mandates that direct all federal agencies to evaluate environmental impacts of proposed activities, including noise impacts, to trust resources. These self-directed mandates can be used to strengthen the agency's actions towards acoustic habitat management priorities.

Table 2-2. Examples of place-based tools that NOAA is or could be applying to acoustic habitat science and management goals, assessed relative to their statutory authorities, scopes (spatial, temporal and ecological) and outcomes.

| Objective of NOAA's Place-Based Management | NOAA Examples | Relevant NOAA Statutory Authorities ¹ | Spatial Scale | Temporal Scale | Ecosystem? | Role for NOAA Acoustic Habitat Science | Role for NOAA Acoustic Habitat Management |
|--|---|--|--|--|-----------------|--|--|
| Measures aimed at protecting aquatic animal populations or species of high value | Endangered Species Recovery Plan and Marine Mammal Conservation Plan action areas | MMPA; ESA | Geographic range of species including everything but foreign territorial waters | long-term | No | Can require monitoring | Could influence wide-ranging noise mitigation by multiple US agencies and Internationally (e.g., quieting design implementation) |
| | Fishery Management Plan action areas | MSFCMA | Geographic range of species including US rivers and estuaries, coasts, Continental Shelf and EEZ ² | long-term | No ³ | Could require monitoring | |
| | Essential Fish Habitat | MSFCMA | Geographic range of species including US rivers and estuaries, coasts, Continental Shelf and EEZ | Variable: long-term (planning) and project-by-project (interagency consultation) | No | Can recommend monitoring | |
| | Incidental Take Authorization mitigation zones; Interagency consultation action areas | MMPA; ESA | Variable project-by-project, mostly sub-regional; everything but foreign territorial waters | Variable: long-term (some consultation); short term (most consultation and all permitting) | No | Must require monitoring | Can require mostly sub-regional scale, short term mitigation |
| | Cetacean Biologically Important Areas (CetMap) | Various: MMPA, ESA, NMSA, CZMA, etc. | Variable; sub-regional; US rivers and estuaries, coasts, Continental Shelf and EEZ | TBD | No | Could influence regional-scale long-term monitoring | Could influence regional-scale long-term mitigation |
| | Endangered Species' Critical Habitat | ESA | Variable; sub-regional; US rivers and estuaries, coasts, Continental Shelf and EEZ | Variable: long-term (planning) and project-by-project (interagency consultations) | No | Can require monitoring | Can require short-term (most consultation) and influence long-term (som consultation, planning) mitigation |
| | Habitat Areas of Particular Concern (Essential Fish Habitat) | MSFCMA | Variable; sub-regional; US rivers and estuaries, coasts, Continental Shelf and EEZ | Variable: long-term (planning) and project-by-project (interagency consultations) | No | Can recommend monitoring | Can recommend noise mitigation |
| | Fish and Wildlife Coordination Act and Federal Power Act action areas | FWCA, FPA | Natural streams and inland bodies of water used by migratory, estuarine and marine fishes | Project-by-project | No | Could influence consideration of monitoring by other federal agencies ⁴ | Could influence consideration of mitigation by directed federal agencies ⁴ |
| | Anadromous Fish Conservation Act action areas | AFCA | Great Lakes and Lake Champlain (Columbia River Basin) streams used by spawning fish | long-term | No | Could influence consideration of noise monitoring by states | Could influence consideration of noise mitigation by states |
| | Fishery Community Based Restoration Program action areas | MSFCMA | US rivers or estuaries used by spawning anadromous fish species | long-term | No | Could influence monitoring | Could influence mitigation |
| Measures aimed at protecting aquatic areas of high value | Regional Marine Planning areas | Various: MMPA, ESA, NMSA, MSFCMA, CZMA, etc. | Eight US regions that include territorial sea, EEZ and Continental Shelf landward of mean high-water line, inland bays and estuaries (additional inland waterways TBD) | long-term | Yes | NA—not yet established | NA—not yet established |
| | Habitat Blueprint Focal Areas | Various: MMPA, ESA, NMSA, MSFCMA, CZMA, etc. | Boundaries of designated sites (though serves to coordinate activities with adjacent/influencing areas) | long-term | Yes | NA—planning phase; could influence monitoring plans | NA—planning phase |
| | National Resource Damage Assessment action areas | OPA | Areas where NOAA-managed resources and they services they provide are damaged by release of oil or other hazardous substances | Incident specific | Yes | Could influence monitoring | Could influence mitigation |
| | Coral Reef Conservation Program action area | CRCA | US jurisdictions and waters with shallow-water coral reefs | long-term | Yes | Could influence monitoring | Could influence mitigation |
| | Coastal Zone Management Planning areas | CZMA | All territorial US waters and adjacent land areas | long-term (enhancement programs); Project-by-project (federal consistency) | Yes | Can influence consideration of monitoring by states | Can influence consideration of mitigation by states |
| | National Estuarine Research Reserves | CZMA | Boundaries of designated sites | long-term | Yes | Could influence consideration of monitoring by site lead (state or university) | Could influence consideration of monitoring by site lead (state or university) |
| | National Marine Sanctuaries | NMSA | Boundaries of designated sites (but including activities occurring outside sites that cause injury within sites) | long-term (management planning); Project-by-project (permitting of prohibited activities and interagency consultation) | Yes | Could require (permitting) and can recommend (planning, consultation) monitoring | Could require (permitting) and can recommend (planning, consultation) mitigation |

¹ Marine Mammal Protection Act, Endangered Species Act, Magnusen-Stevens Fishery Conservation and Management Act, Fish and Wildlife Coordination Act, Federal Power Act, Anadromous Fish Conservation Act, Coastal Zone Management Act, National Marine Sanctuaries Act, Oil Pollution Act and Coral Reef Conservation Act; ² Exclusive Economic Zone; ³ Plans in process have ecosystem focus; ⁴ US Fish and Wildlife Service, US Army Corps of Engineers and Federal Energy Regulatory Commission

THE PATH FORWARD

NOAA has embarked on a path to better understand the importance of sound in marine ecosystems, and to more effectively manage anthropogenic threats to acoustic habitats using both current and improved tools. Growing threats from noise to acoustically sensitive species coupled with limited agency resources needed to address these challenges suggest a need to simultaneously move forward aggressively while making clear strategic decisions about where and how to prioritize those efforts in the coming years. While specific decisions in the future will be influenced by many factors, the following actions seek to match the broad spatial and long temporal ecological scales over which noise is impacting acoustic habitats.

Create and Support International Initiatives to Reduce Influence from Distant Noise Sources

NOAA acknowledges that addressing chronic noise conditions within some acoustic habitats of concern will necessitate management action that can reduce noise exposure over very large spatial scales (McCarthy 2004, Hatch & Fristrup 2009). Drivers for wide-ranging mitigation solutions stem from both presumed species-specific communication ranges (e.g., fin and blue whales) and documented propagation distances for low frequency noise sources (e.g., seismic airguns and ships). Distant sources of noise will have differential impacts within acoustic habitats of interest. In general, deep water habitats in northern hemisphere mid-latitudes or highly trafficked seas are likely to be significantly influenced by wide-ranging noise sources (National Research Council of the U.S. National Academies 2003). Additionally, many highly migratory populations of endangered baleen whales are known to produce low frequency calls and songs throughout most of their ranges (e.g., Charif et al., 2001, Oleson et al., 2014). Acoustic conditions could be considered relevant to these species wherever they occur. NOAA's authorities for addressing range-wide threats to target populations and listed species often explicitly recognize and direct multilateral approaches (e.g., Endangered Species Recovery Planning). Such drivers provide important mechanisms for the agency to engage in long term, international efforts to reduce chronic noise influence, in addition to more nationally-focused activities.

Efforts to recover, restore, and ensure sustainable harvest of species over large ranges necessitate partnerships with other agencies and countries, and industries with direct mechanisms to influence implementation of quieting programs. NOAA has provided leadership for such efforts to develop technical guidelines to reduce noise from commercial ships through the United Nations' International Maritime Organization. In partnership with the U.S. Coast Guard, NOAA supported the U.S.'s chairing of these efforts beginning in 2008, with successful passage of guidelines in 2014 (International Maritime Organization 2014). NOAA continues to work with inter-agency and non-governmental partners to support international implementation of these guidelines. Key next steps include pilot programs for select shipping companies and, ideally, select ports, with interests in supporting "green ship" development, in which new ships are built or existing ships are modified to include quieting in design and operational goals. Pilot programs would evaluate time horizons for cost-recovery (e.g., via increased fuel efficiency, reduced maintenance etc.), consider integration of quieting goals with other environmental protection goals included in green ship design projects, and develop monitoring and docking incentives associated with participating ports.

NOAA has been less directly engaged in international efforts to encourage the development of quieter technologies to modify or replace other dominant low-frequency noise sources, like airguns, other seismic sources, pile-driving activities, and vessel dynamic positioning systems that are used in a wide-variety of offshore energy development phases (e.g., exploration, platform construction, extraction/generation). For such sources, NOAA's current regulation and consultation activity to address

physical and behavioral effects due to acute noise exposure focuses on noise reduction techniques to reduce peak pressures or short term (e.g., one day) accumulated energy experienced by animals swimming nearby (e.g., some pile-driving sound attenuation techniques). Broadening such designs to address lost listening opportunities over larger spatial and longer temporal scales will necessitate setting of engineering targets that reference biological effects at those scales. Longer-term effect targets are emerging from modeling the population-level consequences of displacing harbor porpoises from their habitat in the North Sea as a result of regional wind farm development (SMRU Consulting 2015). However, effect targets assessed via modeling of consequences mediated through full ecosystems are also important, to ensure that species-specific noise optimizations benefit habitat conditions more holistically. Many of the companies conducting noise-producing activities in support of offshore energy exploration and production have increased their investment in quieting technologies, recognizing that quieter alternatives would be environmentally preferable and would reduce the complexity of operating within highly variable international regulatory constraints. For example, a wide range of international oil companies and the International Association of Geophysical Contractors continue to invest in the development of marine vibroseis technology as an alternative to airgun technology for use in seismic data acquisition (E&P Sound & Marine Life Joint Industry Programme on Sound on Marine Life 2015).

Improve and Apply National Tools to Reduce Cumulative Impacts

Given the increasing number of noise-producers seeking permits from NOAA to authorize impacts, there is a need to address the implications of accumulated exposure to acoustic habitats. This need is not isolated to noise among environmental stressors, nor to the U.S. alone. Tools to address cumulative, multi-source effects over wider spatial scales are emerging in the European Union associated with the implementation of Marine Strategy Framework Directive (EU MSFD). The EU MSFD defines its objective, Good Environmental Status, to include the requirement that “Introduction of energy (including underwater noise) does not adversely affect the ecosystem” (EU MSFD 2008). Regional registries of noise-producing events, developed by individual countries (e.g., UK and The Netherlands) but with high levels of multi-lateral collaboration, are being used to characterize contributions to national and regional noise budgets. Importantly, these registries collect information regarding nationally-permitted noisy activities both at the times they are proposed and then again after they are completed. Such registries thus allow European countries with collective, regional interest in regulating noise to describe relative, actualized noise contributions to localized acoustic habitats of concern. Noise predictions based on registered events can be compared to monitoring data to estimate remaining contributions from non-registered source types.

A geospatially-explicit registry of all federally authorized (i.e., NOAA permitted and/or requiring non-NOAA federal action) noise-producing events in U.S. waters would inform many facets of NOAA’s activities to address cumulative noise impacts to high risk acoustic habitats. In parallel with EU MSFD efforts, such a registry would inform NOAA’s role in implementing the U.S. National Ocean Policy. The U.S. National Ocean Policy encourages Regional Marine Planning as “a science-based tool that regions can use to address specific ocean management challenges and advance their economic development and conservation objectives” (National Ocean Council 2013a, p. 21). Regional Marine Planning Bodies have been established in several U.S. regions, with the northeast and mid-Atlantic regions the furthest advanced towards finalization of Regional Marine Plans. Several Regional Planning Bodies (as well as similar regional collaboratives) have invested in mapping coastal and offshore human use patterns as critical information to inform discussions of compatibility among uses and to achieve ecosystem protection goals. Some noise producing activities are likely well-captured by current mapping initiatives, including the likely influence of ocean-going (e.g., cargo, tanker) and some more localized commercial (e.g., fishing, ferries, tug-tow) and recreational (e.g., fishing, pleasure) vessels on regional acoustic

habitats (e.g., SoundMap, http://cetsound.noaa.gov/sound_data). Others are captured in more generalized and often low-resolution projected terms, including levels of expected activity within boundaries of lease blocks for energy development or ranges for military activities. Higher resolution information describing actualized activity levels evaluated after they occurred would significantly improve place-based characterization of noise contributions in areas with high federal authorization activity.

In other areas, improving noise estimates will demand approaches that account for activity types that are not federally authorized. In particular, noise in nearshore waters can be influenced by a diversity of human activities that may or may not require local, state, tribal or federal authorizations, including offshore communication and energy installations, port and harbor operations, maintenance of bridges and waterways, pleasure craft, and even onshore road traffic. Inshore areas are often of high concern for environmental management (Table 2-2), as they support biologically important (and often acoustically sensitive) reproductive and early life stage behaviors for a wide range of aquatic taxa, including invertebrates, fish and mammals. Measurements of coastal noise levels are increasingly collected by nearshore monitoring efforts, although they disproportionately sample locations and time periods that contain noisy events and are often not regionally centralized. A new land-based modeling technique would, however, leverage the increasing quantity and spatial coverage of coastal noise measurement data and shows great promise for improving the accuracy and accessibility of noise predictions over large scales. This technique has been applied to relate well-distributed noise measurement data to geospatial datasets that describe key anthropogenic, biological and geophysical predictors of noise, generating maps of noise levels that span the U.S. continental states (Mennitt et al., 2014, <http://www.nature.nps.gov/sound/soundmap.cfm>). Although necessitating continual improvements in noise measurement databases, this technique reduces reliance on high resolution descriptions of noisy activities. Such regional to coast-wide noise predictions would improve representations of cumulative conditions within both Coastal Zone Management and Regional Marine Plans. States with approved Coastal Zone Management Plans can then determine whether federal actions or permits associated with proposed activities are consistent with the enforceable policies of their plans (Coastal Zone Management Act 1972, see Table 2-2). While Regional Marine Plans may not explicitly seek to reduce accumulated noise impacts within high-risk acoustic habitats, such an outcome is inherent to planning objectives that seek to reduce regulatory burdens for both NOAA and those promoting noise-producing activities by improving information regarding place-based cross-sectoral and environmental compatibility (National Ocean Council 2013b).

Marine planning seeks to support statutorily-directed consultation and environmental impact assessment processes that are standardly used to address noise impacts (Table 2-2). Registries of federally permitted noise-producing events would allow NOAA, in concert with long term monitoring capabilities, to guide project-specific consultation activity under the ESA, NMSA and MSFCMA towards longer-term mitigation designs to address noise sources that are identified as being dominant contributors to both accumulated acute and chronic noise in high risk acoustic habitats. In addition, “programmatic” NEPA evaluations and consultations are increasingly being performed by agencies with direct regulatory responsibility for noise-producing activities (Council on Environmental Quality 2014), often in partnership with NOAA. These actions seek to assess implications for populations, species and places over regions and multi-regions and over multi-year time periods. Cooperative evaluation of environmental consequences, including noise consequence, of longer-term and wider-ranging activity is improving interagency information sharing and supporting the development of new tools to support risk assessment at these scales. Such tools would benefit from interagency cooperation to generate and contribute to registries of noisy events, and particularly to improve information regarding actualized

versus proposed activity profiles. Programmatic impact assessments and consultations also have the potential to improve characterization of noise budgets within acoustic habitats of management concern through longer-term monitoring requirements.

Finally, improved characterizations of accumulated noisy activity would support NOAA's decisions regarding use of the agency's statutory authorities to strengthen localized protection for acoustic habitats. NOAA has applied its generalized authorities under the MMPA and ESA (Table 2-2) to regulate ship speeds in areas and during time periods when risks of collision with North Atlantic right whales are heightened. These regulations thus applied range-wide authorities to direct long-term, though more spatially restricted, mitigation in targeted areas. Monitoring required to support this action has in turn supported better understanding of collision risk, as well as measuring compliance and informing enforcement actions as necessary. Such generalized authorities are available to the agency within several statutes, and provide opportunity for establishing long-term mitigation (e.g., seasonal or year-round exclusion or reduction in noisy activity levels, use of quieter technology) in a high risk acoustic habitat. Such actions must be supported by a needs analysis documenting the detrimental (although mostly sub-lethal) consequences of the noise source(s) that will be mitigated, on targeted NOAA-managed resource(s), included in the "basis and purpose" of the rulemaking. In addition, NOAA's support for the development of Cetacean Biologically Important Areas has identified places, additional to those defined as critical for ESA-listed species, to inform management action across the many permitting and consultation actions currently being taken to address noise impacts on these species. Just as these areas will be modified in the future to reflect additional scientific information, their application to management actions should be evaluated over time to determine whether they are effective in enhancing the condition of the acoustic habitats they contain. Long-term monitoring within biologically important areas and critical habitats associated with highly vulnerable and acoustically sensitive cetacean populations (e.g., Southern Resident Killer Whales, North Atlantic Right Whales, Cook Inlet Beluga Whales) will be critical to establishing baselines for assessing success of multi-action mitigation, and determining whether existing or additional place-based management authorities are or would be effective.

Realize the Potential of National Marine Sanctuaries

The activities discussed above seek to address wide-ranging, repeated, and long-term noise exposure by leveraging NOAA's species- and habitat-specific authorities to achieve noise reduction benefits within acoustic habitats where target species co-exist with many other acoustically-sensitive and active species. They also seek to interface with ecosystem-protection frameworks such as NOAA's Habitat Blueprint effort and the U.S. NOP. National Marine Sanctuaries, however, represent key NOAA assets to achieve the ecological goals of acoustic habitat protection, due to their mandate to protect whole and functioning natural ecosystems (Table 2-2). Given the importance of sound to survivorship and well-being of diverse marine species and ecosystems, this ecosystem protection mandate extends to ecologically-important environmental characteristics like sound and thus to the maintenance or restoration of viable acoustic habitats for a range of acoustically sensitive species that inhabit sanctuaries. Preserving, restoring, and maintaining natural acoustic habitats within sanctuaries is a complex endeavor, involving the development of new scientific capabilities, new management measures and processes, and outreach programs.

Currently, only 4 National Marine Sanctuaries (Stellwagen Bank, Olympic Coast, Cordell Bank and Channel Islands) are operating long-term passive acoustic monitoring systems. Other sites do so periodically or are developing longer-term soundscape research programs in partnership with academic institutions. The Office of National Marine Sanctuaries is seeking to enhance these capabilities in

collaboration with NOAA's Pacific Marine Environmental Laboratory, NOAA Fisheries, and the U.S. National Park Service (NPS) through the development of the NOAA Noise Reference Station Network (NOAA Fisheries 2015b). The maturation of the Natural Sounds and Night Skies Division within the NPS has showcased the importance of developing system-wide, standardized, calibrated and long-term noise measurement capability to support site-based but coordinated noise management objectives (Hatch & Fristrup 2009). At Stellwagen Bank National Marine Sanctuary, where passive acoustic monitoring has more longevity, higher-resolution research focuses on characterizing acoustic variability among different habitat types, continuing to document species-specific acoustic behaviors, and identifying environmental signals of relevance to sanctuary species.

While management of acoustic habitats in protected areas, both terrestrial and aquatic, is relatively new to environmental protection activity, National Parks have been operating under defined soundscape management policies for over a decade (NPS 2000, 2006). Key lessons have emerged that should be taken into account as National Marine Sanctuaries seek to digest acoustic habitat status and trend information in order to characterize effects and establish objectives for threat reduction. The development of metrics is a controversial step in environmental threat management. Both NOAA and NPS have learned that thresholds, in and of themselves, become short-hand for representing the agency's broader perspective for how noise influences wildlife. Thus, effect metrics should identify and communicate protection targets associated with acceptable levels of biological effect, rather than the levels of noise that are predicted to produce those effects. For example, parks have been successful in translating information regarding noise influence within their soundscapes into metrics of acceptable or unacceptable levels of communication interference, sleep disturbance and lost listening capability (NPS 2010). Such metrics are relatable to people (e.g., visitors and managers) as well as park wildlife, and synthesize impacts associated with many types of noise exposure (e.g., rare sudden loud events, accumulated disruptive noise events and continuous background noise).

The National Park soundscape management experience further suggests that sites within a system may or may not share effect level targets for management. Variation among sites in effect reduction or maintenance objectives will be driven by a range of factors, including, but not limited to, the status of natural and human contributions to their soundscapes and prioritization of noise protection relative to other managed threats. However, long-term management action must reference site-specific estimates of pre-industrial levels as baselines for interpreting progress towards biologically-relevant recovery. The reference condition for park soundscape management is clearly specified to be the historical, noise-free environment (NPS 2006, section 8.2.3). Sanctuary management should recognize the importance of measuring or estimating anthropogenic noise-free acoustic habitat conditions to calibrate incremental protective action both within sites as well as among sites.

Achieving noise management goals within National Marine Sanctuaries will require multi-faceted action. Some sources of distant propagating noise, as discussed above, will require international as well as other domestic activity. However, proposed activities that may (Stellwagen Bank) or are likely to (all other sanctuaries) result in injury to sanctuary resources are required to consult with NOAA (see Table 2-2). This requirement includes activities that are and are not prohibited from occurring within specific sanctuaries and it includes activities occurring outside sanctuary boundaries from which injury inside sanctuary boundaries may occur, as is often the case with noise. NMSA consultation results in recommendations to action agencies, not binding requirements; however, the recommendations carry liability associated with rejection, and they offer the potential for structured, long-term dialogue between NOAA and other federal agencies, as well as with the public, regarding acoustic habitat management goals and suggested mitigation to achieve those goals. Consultation authority can also

incentivize stakeholders to invest in promising new mitigation techniques that could be used in proximity to sensitive or protected sites, including sanctuaries. The application of consultation authority to address noise impacts within sanctuaries is growing exponentially, but is currently limited by staff capacity. NOAA's overlapping authorities within sanctuaries provide additional opportunities to broaden the protective value of sanctuaries. Most sanctuaries protect resident or seasonal marine mammals, or endangered and threatened species, or commercial and recreationally important fish species and their essential habitat. In some cases, intra-agency consultations provide opportunities for NOAA to evaluate the noise implications of its own actions (e.g., issuance of Incidental Harassment Authorizations under the MMPA) on a sanctuary resource, providing opportunities for the agency to coordinate and strengthen its protective capabilities for specific species within these sites. Such opportunities are also increasingly being identified, but again are limited by staff capacity.

Finally, but perhaps most importantly, sanctuaries are a vital NOAA asset for building new constituencies to protect our coasts and oceans and for ensuring that people understand the role of sound and hearing to the healthy functioning of aquatic places. Sanctuaries, like parks, provide places for local conversations among people with different views about what is important to them about the current and future condition of their ocean. These conversations expose people to new scientific information regarding environmental effects as well as more nuanced perspectives on the practices of industries. Like air and water, the acoustic environment can be polluted and, in the 1970s, the U.S. recognized noise as an environmental pollutant that necessitated regulation to protect human health (Noise Control Act 1972). But the protection of the holistic acoustic conditions that wildlife, and particularly animals that live underwater, need in order to survive and persist is only recently recognized as warranting international re-investment. Sanctuaries represent opportunities to educate current and future generations about the importance of natural acoustic habitats and what can be done to reduce the influence of noise on these habitats.

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